

Financial Statement Submission Policy

A financial statement is a statement of financial position and performance over a period of time that provides shareholders, investors, and stakeholders with an understanding of strategies, policies, risks, and resource efficiency. A financial statement is used for analysing, comparing and monitoring, which can be used to assess risks in comparison to returns.

1. Principles and Reasons

According to KCG Corporation Public Company Limited” the Company” wishes to apply for the initial public offering of the Company's shares and proceed to submit an application to the Stock Exchange of Thailand for listing the Company's shares as listed securities, the Company is aware of the duty of preparing and submitting the financial statements as required by the relevant laws and regulations of the Securities and Exchange Commission, the Capital Market Supervisory Board and the Stock Exchange of Thailand. The Company formulated the financial statements submission policy as guidelines for the preparation of financial report and financial statements submission in order to comply with the applicable law,

2. Objectives

The financial statement submission policy was established with the following objectives:

2.1 To establish a written policy a written policy that acknowledges the intent and framework of the financial statement submission policy for directors, executives, and employees.

2.2 To comply with the regulations of the Securities and Exchange Commission, the Capital Market Supervisory Board and the Stock Exchange of Thailand concerning the preparation and submission of financial statements and others related to matters.

2.3 To reassure stakeholders that the Company will be able to disclose its financial position and operating results to the public within the timeframe specified.

3. Financial Statement Submission Policy

The Company establishes the framework for essential principles and guidelines in relation to the financial statement submission policy as follow:

3.1 Quarterly Financial Statement

The first, second, and third quarter financial statements will be submitted to the Stock Exchange of Thailand and the Securities and Exchange Commission within 45 days of the end of each accounting period, after being reviewed by a certified public accountant approved by the Securities and Exchange Commission. However, the Company will not submit fourth-quarter financial statements.

3.2 Annual financial statements

The annual financial statements will be submitted to the Stock Exchange of Thailand and the Securities and Exchange Commission within two months of the end of the accounting period, after being audited by a certified public accountant approved by the Securities and Exchange Commission.

4 Enforcement

The financial statement submission policy shall be effective from the date on which the Company's shares are listed on the Stock Exchange of Thailand onwards

Announced on November 1, 2022.

Dr. Chaiwat Wibulsawat
Chairman of the Board
KCG Corporation Public Company Limited