

## Corporate Governance and Sustainable Development Policy

### KCG Corporation Public Company Limited

Corporate governance and sustainable development is a value creation for business. It serves as an additional factor in the efficient and sustainable growth of the Company's management and operations along with the code of business conduct. Moreover, it builds confidence for customers, investors, and stakeholders as well as the general public in order to ensure that the operation and decision-making process of the Company is independent, transparent, reliable, and fair to all parties involved.

#### 1. Principles and Reasons

Corporate governance and sustainable development are important factors that create value for the business and builds confidence for investors and stakeholders. KCG Corporation Public Company Limited ("Company" or "Business") is committed to conducting business by adhering to the corporate governance code for listed companies in accordance with the Securities and Exchange Commission ("SEC") and the Stock Exchange of Thailand ("SET") and announcing this commitment to all employees for acknowledgment and compliance.

#### 2. Objectives

To ensure that the Company has adequate and appropriate corporate governance guidelines to create sustainable business value and build confidence among investors and stakeholders, the Company has established the corporate governance and sustainable development policy that include important objectives:

- 2.1 To determine written policies for directors, executives, employees and stakeholders to acknowledge the intention and policy framework on corporate governance and sustainable development.
- 2.2 To provide support for directors, executives, employees at all levels to be aware of the significance of collaboration within the policy framework of corporate governance and sustainable development.
- 2.3 To assure investors and other stakeholders that the Company is committed to conducting business in accordance with the corporate governance code and sustainable development.

#### 3. Corporate Governance and Sustainable Development Policy

- 3.1 The Company's corporate governance and sustainable development policy consists of 8 principles as follows:
  - 3.1.1 **Principle 1** Establish Clear Leadership Role and Responsibilities of the Board
  - 3.1.2 **Principle 2** Define objectives that Promote Sustainable Value Creation
  - 3.1.3 **Principle 3** Strengthen Board effectiveness
  - 3.1.4 **Principle 4** Ensure Effective CEO and People Management
  - 3.1.5 **Principle 5** Nurture Innovation and Responsible Business
  - 3.1.6 **Principle 6** Strengthen Effective Risk Management and Internal Control

- 3.1.7 **Principle 7** Ensure Disclosure and Financial Integrity
- 3.1.8 **Principle 8** Ensure Engagement and Communication with Shareholders

The Board included the sub-principles (Appendix A), Sub-Principle Guidelines and explanation (Appendix B), the excerpted significant sections of the Securities and Exchange Act B.E 2535 (1992) and amendments (Appendix C), as well as other significant policies (Appendix D) in order to promote comprehension of the principles of corporate governance.

- 3.2 The Company has intention and determination to conduct business with efficiency and corporate governance to create benefits for stakeholders under the context of corporate social and environmental responsibilities
- 3.3 The Company gives priority to the code of business conduct, competitiveness, work process development, product and service quality expansion, value creation via information technology, and effective communication between the Company and stakeholders.
- 3.4 The Company prioritizes best practices for personnel at all levels and human resource management in accordance with the moral system and successor planning for significant positions.
- 3.5 The Company recognizes and promotes the disclosure of information, including financial reports and non-financial information, that is sufficient, reliable, and timely, so that all stakeholders have access to information equally.
- 3.6 The Company shall promote the exercise of shareholder rights, monitor the equal and fair treatment of shareholders, and determine adequate dividend payment level to sustain the Company's growth.
- 3.7 The Board focuses on value creation for the Company and fostering investor confidence. Therefore, 5 subcommittees have been appointed, namely, the Executive Committee, the Audit Committee, the Nomination and Remuneration Committee, the Corporate Governance and Sustainable Development Committee, and the Technology and Information Committee. The Board and each subcommittee have a charter that defines their roles and responsibilities in accordance with the corporate governance for the utmost benefit of the Company. The charter of each subcommittee shall be reviewed at least once a year.
- 3.8 The Company assigns the Audit Committee to review the operations. internal control, risk management and transparency of each department freely.

4. Enforcement

The corporate governance and sustainable development policy shall take effect on the announcement date.

Announced on November 1, 2022

Dr. Chaiwat Wibulsawat

Chairman of the Board

KCG Corporation Public Company Limited