

Risk Management Policy

KCG Corporation Public Company Limited

Risk management is a mechanism to handle uncertainties or potential factors that may impact the Company's goals, strategies, or objectives. On the contrary, effective risk management can also create opportunities to increase business value.

1. Principles and Reasons

KCG Corporation Public Company Limited and its subsidiaries ("the Company") recognize that conducting business inherently involves risks across various areas, depending on the nature of the business. With a steadfast commitment, the Company actively manages risks that may affect its objectives or strategies. This includes compliance with laws, regulations, operational standards, and the applicable operations manual, as well as transparent and fair treatment of customers or stakeholders. The Company considers its short and long-term performance objectives in accordance with corporate governance in order to reduce the likelihood of potential risks or mitigate their impact in the event of their occurrence and to seize business opportunities to ensure the sustainability of its operations.

2. Objectives

To ensure that the Company has a written risk management policy that is well-defined and clear. The management is responsible for establishing strategic guidelines for risk factor assessment within each business unit and a comprehensive outlook for the entire organization. The Board evaluates the effectiveness and improvement of risk management practices on a continuous basis. The Company prioritizes employee potential development and a risk-awareness culture within the organization.

3. Risk Management Policy

- 3.1 The Company and its subsidiaries shall establish risk management systems and procedures for each department and business unit, as well as a comprehensive outlook for the entire Company to be consistent with its strategies and objectives in accordance with international standards.
- 3.2 The Company and its subsidiaries shall manage risk so that it is at an acceptable level or does not exceed the determined risk appetite. Determine events or levels of risk as indicators for management to take corrective action and prevent risks from exceeding the risk threshold.
- 3.3 The Company shall appoint the risk management working group by selecting management-level employees, with the CEO serving as chairman. Additionally, outsourcing specialists may join the risk management working group. The risk management working group shall report to the Audit Committee on a regular basis, as determined by the Audit Committee.

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- 3.4 The risk management working group shall manage risks in accordance with the policies and guidelines of the Company. This includes, but is not limited to, risk management processes and the corporate risk management handbook, which has been announced and may be periodically revised and updated. In addition, the risk management working group shall provide the Audit Committee and the Board with their insights, recommendations, and monitoring of improvement measures for consideration and acknowledgment.
- 3.5 The risk management working group shall oversee the potential development of employee capabilities, instill awareness in adhering to the implementation of risk management, and foster a corporate culture of risk management.
- 3.6 The Board shall oversee the overall risk management in a comprehensive outlook throughout the Company by prioritizing risks based on their nature, magnitude, and risk likelihood. The Board shall offer valuable insights and observations to enhance the effectiveness of risk management.
- 3.7 All employees are required to comply with the risk management process and procedure, as determined by the risk management working group or the Company.
- 3.8 The internal auditor is responsible for examining the risk management system, monitoring the implementation of suggested improvements and corrections, and submitting a report to the Audit Committee for approval or further consideration.

4. Enforcement

The risk management policy shall take effect on the announcement date.

This was announced on November 1, 2022.

Signed -*Chaiyawat Wibulswasdi*-

Dr. Chaiyawat Wibulswasdi

Chairman of the Board

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